# WINSTEAD

direct dial: 512.370.2808 bnewberger@winstead.com

## FAX COVER SHEET

368:7047-P268US

| 300.1017 1007      |         |           |              |
|--------------------|---------|-----------|--------------|
| PLEASE DELIVER TO: | COMPANY | PHONE NO. | FAX NO.      |
| Kieu D. Vu         | USPTO   |           | 703-746-7239 |

MESSAGE: Per our telephone conversation, enclosed please find the Reply Under 37 C.F.R. §1.116, Reply Transmittal and Acknowledgement Postcard regarding Serial No. 09/232,622 which we mailed to the Patent Office on August 1, 2001. Please call if you have any questions.

FROM:

BARRY S. NEWBERGER

PHONE NO.:

512.370.2808

DATE:

MARCH 7, 2002

TIME IN:

NO. OF PAGES 26 INCLUDING COVER SHEET.

If any transmission problems, please call:

512.370.2824

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#### ORIGINAL WILL NOT FOLLOW

AUSTIN\_1\185165\1 7047-P268US - 03/07/2002

SUITE 800 100 CONGRESS AVENUE AUSTIN, TEXAS 78701 PH 512.474.4530 FAX 512 370 2850 WINSTEAD COM WINSTEAD SECHREST & MINICK Assormoys and Counsidors A Professional Corporasion Aussia, Dullus, Pors Worth. Houston, Mexico City, The Woodlands, Washingsun, DC



| The "Received" stamp of the Patent and Trademan, Offic | e imprimed nereon acknowledges the filing of                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |  |  |  |
|--------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|
| D NEW APPLICATION                                      | D NOTICE OF APPEAL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |  |  |  |
| □ DECLARATION/POA                                      | O ISSUE FEE \$ COPIES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |  |  |
| ASSIGNMENT/\$                                          | DECTROCTARANS LIP + COPY                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  |  |  |
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| CAMENDMENT LOP/4 1.116                                 | your personny                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |  |  |  |
| DEOTMTHS                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |  |  |  |
| NAME OF INVENTORISHAPPLICANT                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |  |  |  |
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| TITLE OF INVENTION                                     | W 09/232-622                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |  |  |  |
| Tree-based Interface town                              | 09/232622                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |  |  |  |
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| 9,701                                                  | ₹ <b>***</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |  |  |  |
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| CERTIFICATE                                            | WANK CIEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |  |  |  |
| ATTYATTIPRIG OF MAILINGS                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |  |  |  |
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AT9-98-346

-1-

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Before the Examiner:

Alan C. Perkins et al.

K. Vu

Serial No.: 09/232,622

Group Art Unit: 2173

Filed: January 19, 1999

IBM Corp.

Title: TREE-BASED INTERFACE

Intellectual Property Law

APPARATUS FOR DISPLAY OF

11400 Burnet Road

CALL DEPENDENCIES AND METHOD

Austin, Texas 78758

THEREFOR

#### REPLY TRANSMITTAL

Assistant Commissioner for Patents Washington, D. C. 20231

Sir:

Transmitted herewith is a Reply Under 37 C.F.R. §1.116 for the above-identified Application.

- No additional fee is required. Ø
- The fee has been calculated as shown below:

#### CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on August 1, 2001

Signature

Serena Beller

(Printed name of person certifying)

AT9-98-346

**PATENT** 

|                       |                                           |                                              | CLAIMS AS                                   | AMENDED          |          |     |              |
|-----------------------|-------------------------------------------|----------------------------------------------|---------------------------------------------|------------------|----------|-----|--------------|
|                       | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |                                              | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA | RATE     | ADI | DITIONAL FEE |
| Total Claums          | 30                                        | <u>.                                    </u> | 30                                          | 0 -              | x \$18 = | \$  | 0 00         |
| Independent<br>Claims | 3                                         | <u>-</u>                                     | 3                                           | 0                | x \$80 = | s   | 0 00         |
|                       | TOTAL ADDITED                             |                                              |                                             |                  | Ħ        | \$  | 0.00         |

- The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication, or credit any overpayment, to Deposit Account No. 09-0447 (AT9-98-346). A duplicate copy of this transmittal letter is enclosed.
  - Any additional filing fees required under 37 C.F.R. § 1.116.
  - Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P/C.

Attorney for Applicants

Robert A. Voigt, Jr.

Reg. No. 47,159

5400 Renaissance Tower 1201 Elm Street Dallas, Texas 75270 (512) 370-2832

ODMA\PCDOCS\AUSTIN\_I\I70609\I 1162-7047-P268US

AT9-98-346

**PATENT** 

-1-

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Before the Examiner:

Alan C. Perkins et al. :

K. Vu

Serial No.: 09/232,622

Group Art Unit: 2173

Filed: January 19, 1999

IBM Corp

Title: TREE-BASED INTERFACE

Intellectual Property Law

APPARATUS FOR DISPLAY OF

11400 Burnet Road

CALL DEPENDENCIES AND METHOD: THEREFOR :

Austin, Texas 78758

### **REPLY UNDER 37 C.F.R. § 1.116**

Assistant Commissioner for Patents Washington, D. C. 20231

Dear Sir:

In response to the Office Action having a mailing date of July 3, 2001 (Paper No. 6), having a three-month shortened statutory period for response set to expire on October 3, 2001, please amend the above-identified Application as follows:

#### CERTIFICATION UNDER 37 C.F.R. § 1.8

Thereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on August 1, 2001

Signature

Serena Beller

(Printed name of person certifying)